

**Information Governance**

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25<sup>th</sup> November 2019

**Our reference: FOI DA3282**

I am responding to your request for information received on 30<sup>th</sup> October 2019. This has been treated as a request under the Freedom of Information Act 2000.

I am now enclosing a response which is attached to the end of this letter. Please do not hesitate to contact me on the contact details above if you have any further queries.

Yours sincerely,

Ayomide Adediran  
Information Governance Coordinator

If you are dissatisfied with the Trust's response to your FOIA request then you should contact us and we will arrange for an internal review of this decision. If you remain dissatisfied with the decision following our response to your complaint, you may write to the Information Commissioner for a decision under Section 50 of the Freedom of Information Act 2000. The Information Commissioner can be contacted at:

Information Commissioner's Office  
Wycliffe House  
Water Lane  
Wilmslow  
Cheshire  
SK9 5AF

Tel: 0303 123 1113  
Web: [www.ico.org.uk](http://www.ico.org.uk)

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**Request:**

Based on the NHS England National Report to Ministers on the Responsible Officer Regulations and Medical Revalidation 2017/18, more than 99% of NHS sector employers in England provide a report to their board detailing the number and type of concerns (under MHPS) and their outcome (see Figure 23 on page 46 in Section 3 of the linked report).

<https://www.england.nhs.uk/wp-content/uploads/2018/10/report-to-ministers-responsible-officer-regulations-revalidation-1718.pdf>. My request relates to partly to data that should be included in your Trust's report to your board for the year 2017/18. The request

Please provide the following information about the disciplinary cases under MHPS in your organisation for the financial year 2017/18:

**Question 1.** The number of permanent and locum doctors employed by the Trust (Headcount).

Answer: 251 permanent doctors and 19 locum doctors.

**Question 2.** The number of concerns raised under MHPS by type of concern. Please allocate the cases to the most relevant type of concern. For each type of concern please give the number of concerns raised and the number that proceeded to formal investigation Doctor's health Capability Conduct

Answer: Please see table below.

Type of concern	No. of concerns	No. proceeded to formal investigation
Capability	3	On-going investigation
Disciplinary	1	1
Prescribing error	1	1
Criminal conviction	1	1

**Question 3.** For formal investigations only: Please provide the numbers according to the following outcomes. (As several of the outcomes may affect one individual the numbers will not equate those in Q2) No further action Advice or warning Referral to the GMC Exclusion Dismissal Resignation within 6 months of concern raised

Answer: Please see table below.

Outcome	No.
No Further Action	2
Resigned	1
Retired	1
Ongoing	2
On Hold	1

**Question 4.** Please send me your Trust's Disciplinary policy for dealing with concerns raised about doctors, the staff code

**of conduct, and the number of staff formally trained to handle concerns under MHPS.**

Answer: Please find policy attached. In 2017/18, there were 16 staff who were formally trained to handle concerns under MHPS.