

BOARD ASSURANCE FRAMEWORK 2021-2022

BAF Dashboard 2021-2022 (Appendix 1)

Strategic Priority	Risk No	Risk Description	Executive Lead	Lead Committee	Risk Score							
					Residual	Apr/ May	Jun/ Jul	Aug/ Sept	Oct/ Nov	Dec/ Jan	Feb	Target
Improved population health outcomes	1	If the Trust does not build and sustain the right organisational capability and capacity to support integrated care this may impact adversely on our ability to deliver our strategic objective to improve population health	Executive Director of Integrated Care	ICCC	12	12 ↔	12 ↔	12 ↔	12 ↔	12 ↔	12 ↔	8
	2	If the Trust does not anticipate, and proactively respond to, external changes, including factors outside the Trust's control, then the Trust may fail to deliver in its strategy, including our population health, quality and value strategic objectives, and key associated transformation plans	Executive Director of Integrated Care	ICCC	12	12 ↔	12 ↔	12 ↔	12 ↔	12 ↔	12 ↔	8
	9	If the Trust does not effectively manage its commissioning responsibilities and associated risks as a lead provider or lead commissioner, this will impact on the quality and experience of care for service users and patients	Executive Director of Commercial Development	ICCC	n/a	n/a	16	16 ↔	16 ↔	16 ↔	12 ↓	8
Improved patient experience	3	If the Trust does not work effectively with patients and local communities in the planning and delivery of care, services may not meet the needs of local communities	Chief Executive	PPC	12	n/a	n/a	12 ↔	12 ↔	n/a	12 ↔	8
	4	If essential standards of quality and safety are not maintained, this may result in the provision of sub-optimal care and increases the risk of harm	Chief Nurse	QAC	15	12 ↓	12 ↔	12 ↔	12 ↔	12 ↔	12 ↔	9
Improved staff experience	5	If the Trust fails to effectively plan for, recruit and retain people with the right skills, this may adversely impact on the Trust's ability to deliver the Trust's strategy	Chief People Officer	RemCo	16	16 ↔	16 ↔	n/a	16 ↔	16 ↔	16 ↔	9
	6	If issues affecting staff experience are not addressed, this will adversely impact on staff motivation, engagement and satisfaction	Chief People Officer	RemCo	16	16 ↔	16 ↔	n/a	16 ↔	16 ↔	16 ↔	9
Improved value	7	If the Trust's approach to value and financial sustainability are not embedded, this may impact on the achievement of the Trust's financial, service delivery and operational plans	Chief Finance Officer / Chief Nurse	FBIC	16	16 ↔	16 ↔	16 ↔	16 ↔	16 ↔	12 ↓	9
	8	If the Trust fails to robustly implement and embed infrastructure plans including digital and estates, this will adversely impact on our service quality and delivery, patient care and carer experience, and our ability to transform services in line with our aspiration to be a leader in both of our ICSSs	Chief Digital Officer	FBIC	25	25 ↔	20 ↓	25 ↑	25 ↔	25 ↔	25 ↔	8

Risk Matrix					
Likelihood/ Frequency ↓	Consequence/Impact →				
	Insignificant 1	Minor 2	Moderate 3	Major 4	Catastrophic 5
5 Almost Certain	Moderate 5	High 10	Significant 15	Significant 20	Significant 25
4 Likely	Moderate 4	High 8	High 12	Significant 16	Significant 20
3 Possible	Low 3	Moderate 6	High 9	High 12	Significant 15
2 Unlikely	Low 2	Moderate 4	Moderate 6	High 8	High 10
1 Rare	Low 1	Low 2	Low 3	Moderate 4	Moderate 5

Trust Board Committees	
FBIC	Finance, Business & Investment Committee
ICCC	Integrated Care & Commissioning Committee
PPC	People Participation Committee
QAC	Quality Assurance Committee
RemCo	Appointments & Remuneration Committee